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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/695,001 Filing Date **TRANSMITTAL** October 29, 2003 First Named Inventor **FORM** Nobuhiro NISHIYAMA Art Unit 2828 (to be used for all correspondence after initial filing) **Examiner Name** E. Unelus Attorney Docket Number 204552030600 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) x Response Under 37 CFR 1.111 Petition Petition to Convert to a After Final **Proprietary Information Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please **Extension of Time Request** Terminal Disclaimer Identify below): Return Receipt Postcard **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name MORRISON & FOERSTER LLP Signature Printed name Adam Keser

Reg. No.

54,217

Date

June 1, 2006



Docket No.: 204552030600

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Nobuhiro NISHIYAMA et al.

Application No. 10/695,001

Confirmation No. 5307

Filed: October 29, 2003

Art Unit: 2828

For: SEMICONDUCTOR LASER ASSEMBLY

Examiner: Ernest Unelus

RESPONSE UNDER 37 CFR 1.111

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated March 3, 2006, please reconsider this application in light of the following Remarks:

REMARKS

Claims 1, 4-8, 13 and 14 stand rejected under 35 USC 103(a) on Tajiri (U.S. Patent No. 5,727,009) in view of Kamibayashi (U.S. Patent No. 5,878,069). Applicants respectfully traverse this rejection.

Claim 1 recites "a monitoring photodiode mounted on the second mount surface of the substrate and having a light-receiving surface which receives light emitted from the light emission point, and a relay electrode connected to the electrode of the laser diode by a metal wire." The Examiner contends that Tajiri discloses a photodiode 5 mounted on a second mount surface of the electrode but admits that Tajiri fails to disclose a monitoring photodiode. The Examiner then goes